



PUBLICATION POLICY

1. Introduction

This document summarises the publication policy agreed by the project Steering Committee on behalf of all partners in the SWEET consortium.

2. Policy 1 - Funding Acknowledgement and Disclaimer

All publications, press releases, conference presentations, etc. emerging from the project will acknowledge funding and include a disclaimer excluding responsibility of the EC as required by the Grant Agreement (Article 27.3) by inclusion of the following text and the EU emblem:

“The project has received funding from the European Union’s Horizon 2020 research and innovation programme under grant agreement No 774293. The material presented and views expressed here are the responsibility of the author(s) only. The EU Commission takes no responsibility for any use made of the information set out.”

EU emblem: https://europa.eu/european-union/about-eu/symbols/flag_en

3. Policy 2 - Open Access

As required by the Grant Agreement (Articles 29.2 and 29.3), beneficiaries must ensure open access (free of charge online access for any user) to all peer-reviewed scientific publications related to project results. Access can be ‘gold’ (via the publisher) or ‘green’ via an institutional repository. Links to open access publications will be included on the project website (following any embargo period, if applicable).

4. Policy 3 - Intellectual Property Considerations

The consortium, via the Steering Committee, will consider the commercialisation potential of ‘foreground’ knowledge generated during the project, and the impact this may have on planned publications, press releases and conference publications. Partners agree to delay publication of results if deemed necessary by the Steering Committee. Publication of results is a priority and thus undue delay will not be anticipated.

Article 29.1 of the Grant Agreement (section 8.4.2 of the Consortium Agreement) relates to the publication of scientific papers and binds the partners in terms of advance warning (45 days), time to raise objections to publication (30 days), and justification for objections. Advance warning of 7 working days is required for conference abstracts.

5. Policy 4 - Authorship

Authorship and recognition shall follow ICMJE guidelines (www.icmje.org), as agreed in the Consortium Agreement. In part, these specify

- Authorship credit should be based on 1) substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; 2) drafting the article or revising it critically for important intellectual content; and 3) final approval of the version to be published. Authors should meet conditions 1, 2, and 3.
- When a large, multi-centre group has conducted the work, the group should identify the individuals who accept direct responsibility for the manuscript. These individuals should fully meet the criteria for authorship/contributorship defined above, and editors will ask these individuals to complete journal-specific author and conflict-of-interest disclosure forms. When submitting a manuscript authored by a

group, the corresponding author should clearly indicate the preferred citation and identify all individual authors as well as the group name. Journals generally list other members of the group in the Acknowledgments. The NLM (National Library of Medicine) indexes the group name and the names of individuals the group has identified as being directly responsible for the manuscript; it also lists the names of collaborators if they are listed in Acknowledgments.

- Acquisition of funding, collection of data, or general supervision of the research group alone does not constitute authorship.
- All persons designated as authors should qualify for authorship, and all those who qualify should be listed.
- Each author should have participated sufficiently in the work to take public responsibility for appropriate portions of the content.

Group authorship

Group authorship may be appropriate for some publications, such as main reports. This will apply when the intellectual work underpinning a publication 'has been carried out by a group, and no one person can be identified as having substantially greater responsibility for its contents than others'. In such cases, the authorship will be presented by the collective title - The SWEET Consortium - and the article should carry a footnote of the names of the people (and their institutions) represented by the corporate title. In some situations, one or more authors may take responsibility for drafting the paper but all group members qualify as authors; in this case, this should be recognised using the by-line 'Jane Doe, (...), and the SWEET Consortium'. Group authorship may also be appropriate for publications where one or more authors take responsibility for a group, in which case the other group members are not authors but may be listed in the Acknowledgement (the by-line would read 'Jane Doe for the SWEET Consortium').

6. Policy 5 – Publication, Press Release and Conference Presentation Planning

Any partner can prepare a publication, press release or conference presentation, but should inform the consortium in advance of the publication/presentation date by submitting a proposal to a project plenary meeting, or by email to the coordinator and the rest of the consortium, using the SWEET template. The proposal should indicate the scope of the planned publication, the intended venue and the other partners involved/authoring (if any). As outlined above, this proposal must be submitted at least 45 days before the planned publication date or 7 working days for conference abstracts. Partners who submit publication proposals should ensure that all external authors have approved their contributions and agreed to adhere to the SWEET publications policy.

Where some other partner(s) believes that he/she/they have a stronger or prior right to lead a publication on a particular topic, this objection shall be raised within 30 days. The consortium as a whole shall seek consensus on the correct authoring team; where necessary, the coordinator shall arbitrate and her decision shall be final.

Where a partner believes that he/she/they should be invited to contribute to a publication and to enjoy co-authoring recognition, he/she/they shall contact the lead author, copying the coordinator. If appropriate, the lead author shall invite this partner to join the writing team. Membership of the writing team does not confer automatic authorship – all authors must make substantive contributions to the publication, in line with the ICMJE guidelines. Where any conflict arises, the coordinator shall arbitrate and her decision shall be final.

7. Policy 6 - Lay summaries

The lead author will prepare a brief lay summary of publications once they are accepted. This lay summary should be sent to EASO for distribution.

8. Policy 7 - Lay summaries

An individual at each partner should adopt the role of recording their partner's dissemination activity (including publications and conference abstracts) and should report periodically to WP6.